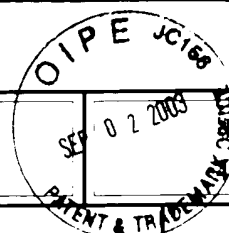


AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Chien-Wei Chen et al.



Docket No.

6599-00301

Serial No.

09/930.856

Filing Date

August 16, 2001

Examiner

Duy Vu Nguyen Deo

Group Art Unit

1765

Invention: **METHOD FOR FORMING AN ELECTRICAL INSULATING LAYER ON BIT LINES OF THE FLASH MEMORY**TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

RECEIVED
SEP 05 2003
TC 1700**CLAIMS AS AMENDED**

| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|---------|-------------------|
| TOTAL CLAIMS | 20 - | 20 = | 0 x | \$18.00 | \$0.00 |
| INDEP. CLAIMS | 2 - | 3 = | 0 x | \$84.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$0.00 |

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **04-1061**
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

*Signature*Dated: **August 27, 2003**

Thomas T. Moga, Reg. No. 34.881
Dickinson Wright PLLC
1901 L Street NW, Suite 800
Washington, DC 20036
(248) 433-7552

I certify that this document and fee is being deposited on **August 27, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence***Thomas T. Moga***Typed or Printed Name of Person Mailing Correspondence*

cc: docketing

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)
(Large Entity)

Docket No.
46599-00301

In Re Application Of: **Chien-Wei Chen et al.**

Serial No.
09/930.856

Filing Date
August 16, 2001

Examiner
Duy Vu Nguyen Deo

Group Art Unit
1765

Invention: **METHOD FOR FORMING AN ELECTRICAL INSULATING LAYER ON BIT LINES OF THE FLASH MEMORY**

RECEIVED
SEP 05 2003
TC 1700

TO THE COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of May 16, 2003 above-identified application.
Date

The requested extension is as follows (check time period desired):

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: August 16, 2003
Date

until: September 16, 2003
Date

The fee for the extension of time is **\$110** and is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
☐ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.
☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **04-1061**.

09/04/2003 SSITHIB1 00000043 09930856

01 FC:1251


110.00 0P


Signature

Dated: **August 27, 2003**

Thomas T. Moga, Reg. No. 34,881
Dickinson Wright PLLC
1901 L Street NW, Suite 800
Washington, DC 20036
(248) 433-7552

I certify that this document and fee is being deposited on **August 27, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Thomas T. Moga

Typed or Printed Name of Person Mailing Correspondence

cc: docketing

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Chien-Wei Chen et al.**

Docket No.

46599-00301

Serial No.

09/039,856

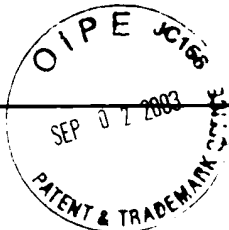
Filing Date

August 16, 2001

Examiner

Duy Vu Nguyen Deo

Group Art Unit

1765Invention: **METHOD FOR FORMING AN ELECTRICAL INSULATING LAYER ON BIT LINES OF THE FLASH
MEMORY****RECEIVED
SEP 05 2003
TC 1700**I hereby certify that this **12 page Amendment and 1 page Terminal Disclaimer***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **August 27, 2003***(Date)***Thomas T. Moga***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**



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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT $\times > \$$ \$220.00

Complete if Known

Application Number 09/930,856
Filing Date August 16, 2001
First Named Inventor Chien-Wei Chen
Examiner Name Duy Vu Nguyen Deo
Group Art Unit 1765
Attorney Docket No. 46599-00301

RECEIVED
SEP 05 2003
TC 1700

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit
Account
Number

04-1061

Deposit
Account
Name

Dickinson Wright PLLC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 1001 750 | 2001 375 | Utility filing fee | |
| 1002 330 | 2002 165 | Design filing | |
| 1003 520 | 2003 260 | Plant filing fee | |
| 1004 750 | 2004 375 | Reissue filing | |
| 1005 160 | 2005 80 | Provisional filing fee | |

SUBTOTAL (1) $\times > \$$

2. EXTRA CLAIM FEES FOR UTILITY AND

| Total Claims | Extra Claims | Fee from below | Fee Paid | |
|--------------------|--------------|----------------|----------|------|
| 20** | 0 | X | 0.00 | |
| Independent Claims | 3** | 0 | X | 0.00 |
| Multiple Dependent | | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 84 | 2201 42 | Independent claims in excess of 3 |
| 1203 280 | 2203 140 | Multiple dependent claim, if not paid |
| 1204 84 | 2204 42 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) $\times > \$$ 50.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non - English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | 110.00 |
| 1252 410 | 2252 205 | Extension for reply within second month | |
| 1253 930 | 2253 465 | Extension for reply within third month | |
| 1254 1,450 | 2254 725 | Extension for reply within fourth month | |
| 1255 1,970 | 2255 985 | Extension for reply within fifth month | |
| 1401 320 | 2401 160 | Notice of Appeal | |
| 1402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 1403 280 | 2403 140 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,300 | 2453 650 | Petition to revive - unintentional | |
| 1501 1,300 | 2501 650 | Utility issue fee (or reissue) | |
| 1502 470 | 2502 235 | Design issue fee | |
| 1503 630 | 2503 315 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR § 1.17(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Statement | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 2809 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 750 | 2810 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 750 | 2801 375 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify) Terminal Disclaimer

110.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

$\times > \$$ \$220.00

SUBMITTED BY

Name (Print Type)

Thomas T. Moga

Registration No.
Attorney Agent

34,881

Complete (if applicable)

Telephone

248-433-7552

Signature

Date

August 27, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199, 1-800-786-9199 and select option 2